NEIL ROTHMAN

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EXECUTIVE SUMMARY

Senior institutional buy-side trader with 20+ years spanning global multi-asset execution, sell-side sales & program trading, and trading technology implementation. Combines an MBA in Finance and B.S. in Computer Science to bridge portfolio management, market microstructure, and OMS/EMS modernization across U.S., Developed, and Emerging markets.

PROFESSIONAL EXPERIENCE

PGIM QUANTITATIVE SOLUTIONS — Newark, NJ

Executive Director, Senior Trader, Investments — May 2025 – Present

- Execute high-turnover quantitative and systematic portfolios across Equity and Multi-Asset strategies spanning U.S. and all MSCI Developed and Emerging Markets, managing large-scale portfolio rebalances on agency and principal bases to minimize market impact and alpha decay.
- Source liquidity and manage execution across ETFs, futures (including quarterly rolls), FX, swaps, and short-duration fixed income (T-bills) to support portfolio positioning and cash management.
- Partner with Portfolio Management, Operations, and Technology to implement and maintain electronic ETF RFQ and FX execution platforms, while supporting a desk-wide Order Management System (OMS) migration.
- Drive Transaction Cost Analysis (TCA), sell-side counterparty management, algo/venue evaluation, and broker rankings, partnering with Compliance and Risk on trading controls and desk-level best-execution oversight.

LIQUIDNET — New York, NY

High Touch & Program Trading — December 2021 – May 2025

- Executed Americas high-touch and portfolio equity trades for a global institutional client base, delivering pre-trade consultancy, real-time performance feedback, and post-trade analysis.
- Provided sales and trading coverage to North American institutions trading globally while expanding desk flow through cross-selling and relationship origination.
- Collaborated with internal stakeholders to broaden Liquidnet’s global equity execution offering.

SOCIETE GENERALE — New York, NY

Sales Trader, Global Program Trading — December 2011 – December 2021

- Served as primary sales-trading coverage for North American quant, index, and fundamental funds across global equity execution and cross-product access.
- Executed large-scale U.S., Canada, and LATAM equity programs and single-stock orders for domestic and international institutional clients.
- Coordinated directly with European and Asian trading desks to manage seamless global program execution for North American accounts.

Business Analyst (Societe Generale) May 2010 – December 2011

- Partnered with business sponsors, compliance, and operations to define Fidessa requirements and manage end-to-end QA, regression testing, production releases, and user training.

FIDESSA New York, NY

Implementation Specialist March 2006 – May 2010

- Tailored complex system configurations and developed client-specific workflow enhancements across the Fidessa OMS, EMS, Position Management, Compliance, and Back Office suite for Tier-1 investment banks.
- Led full software lifecycle UAT and production deployments (analysis, integration, and release), while designing client training sessions and mentoring junior implementation specialists.

THOMSON New York, NY

Software Engineer June 2003 – March 2006

- Built automated back-end data processing and reporting tools for large-scale SGML datasets.

PLATFORMS, TECHNICAL SKILLS & LICENSES

Trading & Execution: Multi-Asset OMS/EMS Architecture, Electronic ETF RFQ & FX Workflows, Bloomberg, Fidessa, InfoReach

Technical & Automation: FIX Protocol, VBA, AI-Assisted Workflow Automation

FINRA Licenses: Series 7, 63, 55, 3, 24 (Active)

EDUCATION

Baruch College, Zicklin School of Business (CUNY) — MBA, Finance & Management (*Cum Laude*, GPA: 3.7, 2008)

Yeshiva University — B.S. in Computer Science (*Summa Cum Laude*, GPA: 3.85, 2003)

Yeshivat Har Etzion — Studies in Jewish Philosophy, Law, and History (Alon Shvut, Israel, 2000)

COMMUNITY INVOLVEMENT

Board Member, Congregation Ohr HaTorah | Board Member, American Friends of Yeshivat Hakotel